



Name of the Asset Management Company
LIC NOMURA MUTUAL FUND ASSET MANAGEMENT COMPANY LIMITED

Name of the Mutual Fund
LIC NOMURA MUTUAL FUND



KEY INFORMATION MEMORANDUM & APPLICATION FORM

Investors must read the Key Information Memorandum and Instructions before completing the form

LIC NOMURA MF RAJIV GANDHI EQUITY SAVINGS SCHEME - SERIES 2

A close ended equity scheme which shall invest in eligible securities as per Rajiv Gandhi Equity Savings Scheme

This product is suitable for investors who are seeking*:

- Capital Appreciation over Long Term
- Investment in equity securities specified as Eligible Securities in Rajiv Gandhi Equity Savings Scheme.
- High risk. ■ (BROWN)

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

Note: Risk may be represented as:

(BLUE) investors understand that their principal will be at low risk	(YELLOW) investors understand that their principal will be at medium risk	(BROWN) investors understand that their principal will be at high risk
--	---	--

The offer for units at Rs. 10 per unit for cash during the New Fund Offer Period

New Fund Offer Opens on : February 28, 2014

New Fund Offer Closes on : March 14, 2014

The AMC / Trustee may close the New Fund Offer before the above mention date by giving at least one day notice in one daily Newspaper.

The AMC/ Trustee reserves the right to extend the closing date of the New Fund Offer (NFO) period, subject to the condition that the New Fund Offer (NFO) period shall not be kept open for more than 30 days.

The Units of the scheme will not be available for Subscription / Switch - in after the closure of New Fund Offer (NFO) period. The Scheme will be listed on National Stock Exchange of India (NSE) Limited and /or Bombay Stock Exchange (BSE) Limited. It is to be distinctly understood that the permission given by NSE and/or BSE should not in any way be deemed or construed that the Scheme Information Document has been cleared or approved by NSE or BSE nor does it certify the correctness of any of the contents of the Scheme Information Document. The investors are advised to refer to the Scheme Information Document for full text of the "Disclaimer Clause of NSE / BSE".

Sponsors:	Trustee:	Investment Manager:
Life Insurance Corporation of India (LIC) Registered Office: Yogakshema Building, Jeevan Beema Marg, Nariman Point, Mumbai 400 021.	LIC Nomura Mutual Fund Trustee Company Private Limited Registered Office: 4th Floor, Industrial Assurance Building, Opp. Churchgate Station, Mumbai 400 020.	LIC Nomura Mutual Fund Asset Management Company Limited Registered Office: 4th Floor, Industrial Assurance Opp. Churchgate Station, Mumbai 400 020.

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/mutual fund, due diligence certificate by the AMC, key personnel, investors' rights & services, risk factors, penalties & pending litigation, associate transactions etc. investor should, before investment, refer to the Scheme Information Document (SID) and Statement of Additional Information (SAI) available free of cost at any of the Investor Service Centres or distributors or from the website www.licnomuramf.com.

The scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and in accordance with the applicable provision laid down under Rajiv Gandhi Equity Savings Scheme as notified by the Central Government as amended from time to time (hereinafter referred to as "RGESS") and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

The date of this Key Information Memorandum is February 24, 2014.

Toll Free No: 1800-258-5678

E-mail: service@licnomuramf.com

Website: www.licnomuramf.com

Mutual Fund Investments Are Subject To Market Risks, Read All Scheme Related Documents Carefully.

Investment objective:	<p>The primary investment objective of the Scheme is to generate capital appreciation, from a portfolio that is substantially constituted of equity securities which are specified as eligible securities for Rajiv Gandhi Equity Savings Scheme (RGESS). The Scheme may also invest a certain portion of its corpus in cash & cash equivalent and money market instruments from time to time.</p> <p>However, there is no assurance that the investment objective of the Scheme will be realized.</p>										
Asset Allocation Pattern for the Scheme:	LIC NOMURA MF RGESS - SERIES 2										
	<p>Under normal circumstances, it is anticipated that the asset allocation would be as under :</p> <table border="1" data-bbox="582 345 1538 471"> <thead> <tr> <th>Type of instruments</th> <th>Intended Allocation (% of Corpus)</th> <th>Risk profile</th> </tr> </thead> <tbody> <tr> <td>Equity securities specified as eligible securities for RGESS</td> <td>95 to 100</td> <td>Medium to High</td> </tr> <tr> <td>*Cash & cash equivalents and ** Money Market Instruments</td> <td>0 to 5</td> <td>Low to Medium</td> </tr> </tbody> </table> <p>* Cash & Cash Equivalent would mean cash (bank balance) or overnight investment in CBLO, reverse repo. ** The Schemes shall invest in Money Market Instruments as defined under SEBI (Mutual Fund) Regulations, 1996, with residual maturity of less than or equal to 91 days</p> <p>The schemes will not invest in ADR/GDR/foreign securities/derivatives/securitised debt and the scheme will not indulge in any Stock Lending & Borrowing and Short Selling activities.</p> <p>The AMC retains the option to alter the asset allocation for a short-term period not exceeding 7 days for liquidity considerations or upon considerations that optimize returns of the Scheme through investment opportunities or upon various defensive considerations.</p> <p>In the event of involuntary corporate action as listed in SEBI Circular CIR/MRD/DP/32/2013 Dated December 6, 2012 the fund shall dispose the security not forming part of the underline index within 30 days from the date of allotment /listing.</p>		Type of instruments	Intended Allocation (% of Corpus)	Risk profile	Equity securities specified as eligible securities for RGESS	95 to 100	Medium to High	*Cash & cash equivalents and ** Money Market Instruments	0 to 5	Low to Medium
Type of instruments	Intended Allocation (% of Corpus)	Risk profile									
Equity securities specified as eligible securities for RGESS	95 to 100	Medium to High									
*Cash & cash equivalents and ** Money Market Instruments	0 to 5	Low to Medium									
Investment Strategy	<p>The Investment Manager will seek capital appreciation by investing in equity and equity related instruments of companies where the long-term growth potential is not fully reflected in the current market price of the company's securities, from the universe of eligible securities specified for RGESS.</p> <p>Investment Manager seeks to ensure proper risk management through diversification across diverse set of stocks and sectors, and by taking into account key economic trends in the portfolio.</p> <p>In order to identify such investment opportunities, the Investment Manager will conduct analysis of the fundamentals and determine the attractiveness of investment opportunities. Such analysis typically will include, among other things, the historical as well as current financial condition of the company, quality of the management, business prospects, and the valuation relative to its fundamentals.</p> <p>The Investment Manager will invest only in those money market instruments that are rated investment grade by a domestic credit rating agency authorised to carry out such activity, such as CRISIL, ICRA, CARE, etc. or in unrated money market instruments, which the Investment Manager believes to be of equivalent quality. Where investment in unrated money market instruments is sought to be made, the specific approval of the Board of Directors of the AMC and Trustee shall be obtained prior to investment. In-house research by the Investment Manager will emphasize on credit analysis, in order to determine credit risk.</p>										
Risk Profile of the Scheme	<p>Mutual Fund Units involve investment risks including the possible loss of principle. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below:</p> <p>The scheme carries risks associated with investing in debt and money market securities etc.</p> <p>Investments in mutual funds units involve investment risks such as trading volumes, settlement risk, liquidity risk and default risk. Trading volume may restrict liquidity. The AMC may choose to invest in unlisted securities which may increase the risk on the portfolio. Also the scheme's investment may be affected by changes in law, policies of the government, taxation and political, economic or other developments.</p> <p>Investment in debt and money market instruments are subject to interest rate risk, re-investment risk, basis risk, credit risk, spread risk, prepayment risk etc.</p>										
Risk Management Strategy	<p>Risk</p> <p>Risk associated with Equity Investments & RGESS-eligible Securities</p> <p>The Schemes will invest only in the investment universe of companies specified by RGESS. Accordingly, the NAV of the Schemes is linked to the share price performance of such companies. Such companies may outperform or underperform the benchmark index and/or the constituents of the said benchmark index.</p> <p>Risks associated with investments in equity shares, which on the day of purchase; fall in the list of equity declared as "BSE-100" or "CNX-100" by the Bombay Stock Exchange and the National Stock Exchange, as the case may be: The Schemes will focus on the BSE 100/CNX 100 companies. Thus, the schemes NAV will be linked to the share price performance of such companies. The share price of such companies may outperform or underperform the broader universe of equity shares of all listed companies.</p> <p>Risks associated with investments in equity shares of public sector enterprises which are categorised as Maharatna, Navratna or Miniratna by the Central Government: The share price of such companies may fluctuate due to changes in government policies and decision making. Governments exercise substantial influence over public sector enterprises. Actions of the central government or state governments in the future could have a significant impact on the performance of the share prices of such companies</p> <p>Market Liquidity Risk and Liquidity Risk on account of unquoted Securities</p> <p>The liquidity of investments made in the Schemes may be restricted by trading volumes, settlement periods and transfer procedures. Although the investment universe constitutes securities which will have high market liquidity, there is a possibility that market liquidity could get impacted on account of company/sector/general market related events and there could be a price impact on account of portfolio rebalancing significantly by unforeseen circumstances. There have been times in the past, when settlements have been unable to keep pace with the volume of securities transactions, making it difficult to conduct further transactions. Delays or other problems in settlement of transactions could result in temporary periods when the assets of the Schemes are uninvested and no return is earned thereon. The inability of the Schemes to make intended securities purchases, due to settlement problems, could cause the Schemes to miss certain investment opportunities.</p>	<p>Risks Management Strategy</p> <p>The Investment Manager endeavors to invest in companies, where adequate due diligence has been performed by the Investment Manager. As not all these companies are very well researched by third-party research companies, the Investment Manager also relies on its own research. This involves one to one meetings with the management of companies, attending conferences and analyst meets and also tele-conferences. The company-wise analysis will focus, amongst others, on the on the historical and current financial condition of the company, potential value creation/unlocking of value and its impact on earnings growth, capital structure, business prospects, policy environment, strength of management, responsiveness to business conditions, product profile, brand equity, market share, competitive edge, research, technological know-how and transparency in corporate governance. Our internal guidelines have maximum active/passive limits per sector and per stock vis-à-vis the benchmark to mitigate excessive risk concentration.</p> <p>The liquidity risk will be managed and/or sought to be addressed by creating a portfolio which has adequate access to liquidity.</p> <p>The equity securities in which the Scheme will invest are companies that are expected to have higher market liquidity and are very well researched by third party research houses. Our internal guidelines have maximum active/passive limits per sector and per stock vis-à-vis the benchmark to mitigate excessive risk concentration.</p> <p>For fixed income securities, the first access to liquidity is through cash and very short duration securities (including Collateralised Borrowing & Lending Obligation, also known as CBL0).</p>									

	<p>Money market securities, while fairly liquid, lack a well-developed secondary market, which may restrict the selling ability of the Schemes and may lead to the Schemes incurring losses till the security is finally sold.</p>		
	<p>Credit Risk & Market Risk Debt securities are subject to the risk of an issuer's inability to meet interest and principal payments on its debt obligations (credit risk). Debt securities may also be subject to price volatility due to factors such as changes in interest rates, general level of market liquidity and market perception of the creditworthiness of the issuer, among others (market risk). The fund manager will endeavour to manage credit risk through in-house credit analysis.</p>		<p>The credit risk associated with fixed income securities will be managed by making investments in securities issued by borrowers, which have a very good credit profile. The Risk & Quantitative Analysis team assigns limits for each issuer (other than Government of India); these limits are for the amount as well as maximum permissible tenor for each issuer. The credit process ensures that issuer level review is done at inception as well as periodically by taking into consideration the balance sheet and operating strength of the issuer.</p>
	<p>Term Structure of Interest Rates (TSIR) Risk The NAV of the Scheme's Units, to the extent that the Scheme is invested in fixed income securities, will be affected by changes in the general level of interest rates. When interest rates decline, the value of a portfolio of fixed income securities can be expected to rise. Conversely, when interest rates rise, the value of a portfolio of fixed income securities can be expected to decline.</p>		<p>Term Structure of Interest Rates (TSIR) Risk will be managed by making investments for cash management purposes, in very short duration fixed income securities (including Collateralised Borrowing & Lending Obligation, also known as CBL), which have low probability of negative returns on account of increase in interest rates.</p>
	<p>Re-investment Risk The investments made by the Scheme are subject to reinvestment risk. This risk refers to the interest rate levels at which maturity proceeds & cash flows received from the securities in the Scheme are reinvested. The risk is that the rate at which these cash flows can be reinvested may be lower than that originally assumed.</p>		<p>Re-investment Risk is prevalent for fixed income securities, but as the primary investments of the Scheme are short duration in nature, the impact can be expected to be small.</p>
	<p>For detailed risk factors and risk management strategy, Kindly refer to the Scheme Information Document. "The mutual fund or AMC and its empanelled brokers have not given and shall not give any indicative portfolio and indicative yield in any communication, in any manner whatsoever. Investors are advised not to rely on any communication regarding indicative yield/portfolio with regard to the scheme".</p>		
Plan and Option	<p>Plan Regular Plan and Direct Plan (The Regular and direct plan will be maintained in a common portfolio)</p>	<p>Options Regular Plan - Dividend Payout Regular Plan - Growth Direct Plan - Dividend Payout Direct Plan - Growth Direct Plan is only for investors who purchase /subscribe Units in a Scheme directly with the Fund (i.e. investments not routed through an AMFI Registration Number (ARN) Holder). The portfolio will be same for all the plans and options.</p>	
Applicable NAV (after the scheme opens for repurchase and sale):	<p>Investors can purchase / sell the units at the applicable sale /repurchase price calculated as follows: Sale Price = APPLICABLE NAV Repurchase Price = APPLICABLE NAV * (1 - Exit Load, IF ANY) Sale / Repurchase prices will be as per the prevailing load structure.</p> <p>However, the scheme being a close ended scheme will not remain open for continuous sale after the completion of the NFO period. The units will be allotted at Rs. 10 during the NFO period subject to realization of the cheque/DD. Moreover, no redemption/repurchase of units shall be allowed prior to the maturity of the scheme. The units of the scheme will be listed on National Stock Exchange of India Ltd. (NSE)/ Bombay Stock Exchange Ltd. (BSE) and investors wishing to exit may do so, through NSE/BSE where the scheme will be listed The NAV will be calculated and declared on all Business Days.</p>		
Direct Plan	<p>As per SEBI circular no. CIR/IMD/DF/21/2012 dated 13/09/2012 there will be separate NAV for direct investment i.e not routed through any distributor</p>		
Minimum application Amount	Option	Fresh Purchase	Additional Purchase
	Dividend Pay-out	INR 5,000/- and thereafter in multiples of INR 1/- during the NFO of the scheme.	Not applicable
	Growth	INR 5,000/- and thereafter in multiples of INR 1/- during the NFO of the scheme.	Not applicable
Dispatch of Repurchase (Redemption) Request:	<p>Redemption by the Mutual Fund is not permitted during the term of the Scheme. However, once the Units are listed, an investor holding Units in demat form can sell (subject to Lock-in-period) the Units on a continuous basis on the exchange during the trading hours, like any other publicly traded stock. The maturity redemption proceeds will be dispatched to the Unit holders within 10 working days of the Maturity Date.</p>		
Benchmark Index:	S&P BSE 100 Index		
Dividend Policy:	<p>Under the Dividend Option, the Fund expects declare dividends on a regular basis subject to availability of distributable surplus, as computed in accordance with SEBI (Mutual Fund) Regulations, 1996. Dividend, if declared, will be paid (subject to deduction of TDS, if any) to those unitholders whose names appear in the Register of Unitholders as on the 'Record Date'. Dividend Warrants will be dispatched to the unitholders within 30 days of the declaration of the dividend. However, it must be clearly understood that the actual declaration of dividend and the frequency thereof will, inter alia,, depend on the availability of distributable profits as computed in accordance with SEBI (Mutual Fund) Regulations, 1996. The decision of the Trustees in this regard shall be final. There is no assurance or guarantee regarding rate and frequency of income distribution though it is the intention of the Fund to make income distribution under the above option.</p>		
Fund Manager	Shri Nobutaka Kitajima Co-Managed by Shri Ramnath Venkateswaran		
Name of the Trustee Company	LIC Nomura Mutual Fund Trustee Company Private Limited		
Performance of the Scheme	This scheme does not have any performance track record		
Expenses of the Scheme:			

(i) Load Structure	<p>New Fund Offer Period: Entry Load: Nil Exit Load: Nil Since the scheme will be listed on stock exchange, there will not be any exit load. New Fund Offer (NFO) expenses shall be borne by AMC Pursuant to SEBI circular No. SEBI/IMD/CIR No.168230/09 dated June 30, 2009 there shall be no entry load for all mutual fund schemes. The same is applicable for Investments in mutual fund schemes (including additional purchases and switch-in to a scheme from other schemes) with effect from August 1, 2009, Redemption from mutual fund schemes (including switch-out from other schemes) with effect from August 1, 2009, New mutual fund schemes launched on and after August 1, 2009 and Systematic Investment Plans (SIP) registered on or after August 1, 2009.</p>	Continuous Offer (Not Applicable)
(ii) Recurring Expenses	<p>First 100 crores of average daily net assets : 2.5% Next 300 crores of average daily net assets: 2.25% Next 300 crores of average daily net assets: 2.00% Balance of average daily net assets: 1.75%</p>	Actual Expenses for the previous financial year (Not Applicable)
Waiver of Load for Direct Applications	Not Applicable	
Tax treatment for the Investors (Unitholders):	Investors are advised to refer to the Statement of Additional Information (SAI) available on the website of AMC viz. www.licnomuramf.com and also independently, refer to the tax advisor.	
Daily NAV Publication:	The NAV will be declared on all business days and will be published in two daily newspapers having circulation all over India in accordance with SEBI Guidelines. NAV can also be viewed on www.licnomuramf.com and www.amfiindia.com	
For Investor Grievances, please contact:	<p>Karvy Computershare Pvt. Ltd. Unit: LIC Nomura Mutual Fund Karvy Plaza, H.No.8-2-596, Avenue 4, Street No. 1, Banjara Hills, Hyderabad – 500 034 Phone: (040) 23312454/44338155 Fax: (040) 23388705 e-mail: service_licnomuramf@karvy.com Website: karvycomputershare.com</p>	<p>LIC NOMURA Mutual Fund 4th floor, Industrial Assurance Building, Opp. Churchgate Station, Churchgate, Mumbai – 400 020 Phone: 022 -22851661 Fax: 022 - 22880633 e-mail: service@licnomuramf.com</p>
Unitholders' Information:	<p>Allotment / Refund: The AMC shall allot units / refund money within 15 days from the closure of the New Fund Offer (NFO) period.</p> <p>Account Statements An allotment confirmation specifying the units allotted shall be sent by way of email and within 15 Business Days of the closure of the NFO Period to the Unit holders registered e-mail address and/or mobile number. A Consolidated Account Statement (CAS) shall also be sent to the Unit holder in whose folio transactions have taken place during that month, on or before 10th of the succeeding month. In case of specific request received from investors, Mutual Fund will provide an account statement to the investors within 5 Business Days from the receipt of such request. The Unit holder may request for a physical account statement by writing/calling the AMC/ISC/R&T. The Mutual Fund/ AMC shall dispatch an account statement within 5 Business Days from the date of the receipt of request from the Unit holder. A consolidated account statement detailing holding across all schemes at the end of every six months (i.e. September/ March), on or before 10th day of succeeding month, to all such Unit holders in whose folios no transaction has taken place during that period shall be sent by mail/e-mail. For more details, please refer the Scheme Information Document (SID) and Statement of Additional Information (SAI).</p> <p>Annual Financial Results: The Scheme wise annual report or an abridged summary thereof shall be sent: (i) by e-mail only to the Unit holders whose e-mail address is available with the Fund, (ii) in physical form to the Unit holders whose email address is not registered with the Fund and/or those Unit holders who have opted / requested for the same. The scheme wise annual report or an abridged summary shall be sent by mail/e-mail not later than four months from the date of closure of the relevant accounting year (i.e. 31st March each year). The physical copy of the scheme wise annual report or abridged summary thereof shall be made available to the investors at the head office of the AMC. A link of the scheme annual report or abridged summary thereof shall be displayed prominently on the website of the Fund and shall also be displayed on the website of Association of Mutual Funds in India (AMFI).</p> <p>Half Yearly Unaudited Financial Results: The Mutual Fund and Asset Management Company shall before the expiry of one month from the close of each half year that is on 31st March and on 30th September, host a copy of its unaudited financial results on AMC's website www.licnomuramf.com provided that the half-yearly unaudited report referred to in this sub regulation shall contain details as specified in Twelfth Schedule and such other details as are necessary for the purpose of providing a true and fair view of the operations of the mutual fund. A Mutual Fund and Asset Management Company shall publish an advertisement disclosing the hosting of such financial results on its website in at least in one English daily newspaper having all India circulation and in a newspaper published in the language of the region where the Head Office of the Fund is situated.</p> <p>Monthly Portfolio Disclosure: The Fund shall disclose portfolio of all schemes on its website www.licnomuramf.com alongwith ISIN on a monthly basis as on last day of each month, on or before tenth day of the succeeding month.</p> <p>Half Yearly Portfolio Disclosure: Full portfolio in the prescribed format shall be disclosed either by publishing it in one national English daily newspaper circulating in the whole of India and in a newspaper published in the language of the region where the Head Office of the Mutual Fund is situated or by sending it to the Unit Holders within one month from the end of each half-year, that is as on March 31 and September 30. It is also displayed on the website of the Mutual Fund on www.licnomuramf.com and Association of Mutual Funds in India (AMFI) on www.amfiindia.com. The mutual fund may opt to send the portfolio of all schemes to unit holders in lieu of the advertisement.</p> <p>Transaction Charges: In accordance with SEBI Circular No. Cir/ IMD/ DF/13/ 2011 dated August 22, 2011, the AMC shall deduct the Transaction Charges on purchase / subscription of Rs.10,000/- and above received from first time mutual fund investors and investor other than first time mutual fund investors through the distributor/agent who have opted to receive the transaction charges based on the type of product) as under: First Time Mutual Fund Investor: Transaction charge of Rs.150/- for subscription of Rs. 10,000/- and above will be deducted from the subscription amount and paid to the distributor/ agent of the first time investor. The balance of the subscription amount shall be invested. Investor other than First Time Mutual Fund Investor: Transaction charge of Rs. 100/- per subscription of Rs. 10,000/- and above will be deducted from the subscription amount and paid to the distributor/ agent of the investor. The balance of the subscription amount shall be invested.</p> <p>Transaction charges shall not be deducted for: -Purchases /subscriptions for an amount less than Rs. 10,000/-; -Transaction other than purchases/ subscriptions relating to new inflows such as Switches, etc. No transaction charges will be deducted for any purchase/ subscription made directly with the Fund (i.e. not through any distributor/ agent).</p>	

5. BANK ACCOUNT (PAY-OUT) DETAILS OF THE FIRST APPLICANT (refer instruction 8) As per SEBI Regulations it is mandatory for investors to provide their bank account details				
Account No.	<input type="text"/>	Name of the Bank		
Type of A/c	<input type="checkbox"/> SB <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR <input type="checkbox"/> Others	Ps specify	Branch	Bank City
IFSC code	<input type="text"/>	MICR no	<input type="text"/>	Refer Instruction 8.3 (Mandatory for Credit via NEFT / RTGS) (11 Character code appearing on your cheque leaf. If you do not find this on your cheque leaf, please check for the same with your bank) In case if the bank details provided by you is different from the payment bank account please enclose a canceled cheque.

6. INVESTMENT DETAILS (Investors applying under Direct Plan must mention "Direct" against the Scheme name.) (refer instruction no. 2 & 3 (Ps tick the appropriate box))				
LIC NOMURA MF RAJIV GANDHI EQUITY SAVINGS SCHEME - SERIES 2				
Plan	<input type="checkbox"/> Regular	<input type="checkbox"/> Direct	*If not specified, Default Option growth	
Option*	<input type="checkbox"/> Growth	<input type="checkbox"/> Dividend Payout		

7. PAYMENT DETAILS (refer instruction 10) The name of the first/ sole applicant must be pre-printed on the cheque. Please write Application Form No. / Folio No. on the reverse of the Cheque/ Demand Draft/ Payment Instrument. Please attach a separate cheques for each scheme, Multiple cheques are not permitted with single application form. Please write Cheque/ DD/ Payment Instrument in favour of 'the Specific Scheme A/c PAN' or 'the Specific Scheme A/c Investor Name'.

Payment Types (Please tick (✓))	<input type="checkbox"/> Non Third Party Payment	<input type="checkbox"/> Third Party Payment
Mode of payment (Please tick (✓))	<input type="checkbox"/> Cheque /DD	<input type="checkbox"/> RTGS/NEFT
A/c. Type (Please tick (✓))	<input type="checkbox"/> SB <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR	Others <input type="checkbox"/> (Ps Specify)
Payment instrument no	Payment instrument date	Drawn on Bank / Branch Name
a. Payment Amt (in Rs.)	b. Charges (if any specifically for DD)	Total Amount (a+b) (in figures)

In case of Third Party Payment, please download "Third Party Declaration Form" from our website www.licnomuramf.com and attach with the application form.

8. NOMINATION (refer instruction 16) (Mandatory for new folios of Individuals where mode of holding is single) This section is to be filled in only by investors who opt to hold the Units in non-demat form.

[Please (✓) and sign]	<input type="checkbox"/> I/We do not wish to Nominate			
Name	Date of Birth	Name of Guardian	Signature of Nominee (Optional)/ Guardian of Nominee (Mandatory)	Proportion (%)
(to be furnished in case the Nominee is a minor)				
Nominee 1				
Nominee 2				
Nominee 3				
Proportion (%) in which the units will be shared by each (to be furnished in case the Nominee is a minor) Nominee (should aggregate to 100%)				

DECLARATION & SIGNATURE/S

I/ We have read and understood the terms and contents of the scheme related documents and hereby apply for allotment of Units of LIC Nomura Mutual Fund RGESS - Series 2 indicated above. I /We agree to abide by the terms, conditions, rules and regulations of the relevant Scheme.

I/We hereby declare as under:

- I/We am/are authorised to make this investment as per the Constitutive documents/ authorization(s) and that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any regulatory authority in India.
- The information given in / with this application form is correct, complete and truly stated. I /We agree to furnish such other information as may be required by LIC Nomura Mutual Fund and undertake to inform the AMC / Registrars and Transfer Agent (RTA) in writing about any change in the information furnished from time to time.
I/We hereby indemnify LIC Nomura Mutual Fund and its AMC, Trustee, RTA, other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my/our transactions and/or the applicant who applied on my / our behalf.
- I/we have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. The ARN holder (AMFI registered Distributor) has disclosed me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing schemes of various Mutual Funds from amongst which the scheme is being recommended to me/us.

I/WE HEREBY CONFIRM THAT I / WE HAVE NOT BEEN OFFERED/ COMMUNICATED ANY INDICATIVE PORTFOLIO AND/OR ANY INDICATIVE YIELD BY LIC Nomura MUTUAL FUND/ LIC Nomura ASSET MANAGEMENT COMPANY LIMITED / ITS DISTRIBUTOR FOR THIS INVESTMENT.

- Applicable to application under Direct Plan: I/We have read and understood the scheme related documents pertaining to the "Direct Plan" and also confirm that the investments in scheme through "Direct plan" is/are made at my own discretion. LIC Nomura Mutual Fund /LIC Nomura AMC/Trustee shall not be liable for any consequences arising out of such investments.

I / We confirm that I am / We are foreign national(s) presently resident in India.

I/We undertake to redeem my/our entire investment / encash all other proceeds including dividend due to me / us before I/We change my/our residency status. I/ We shall be fully liable for all consequences (including taxation) arising out of the failure to redeem/encash on account of change in residential status.

I / We confirm that I am / We are Non-Resident of Indian Nationality / Origin and I / W e hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account / FCNR Account.

Date : _____	SIGN HERE	SIGN HERE	SIGN HERE
Place : _____	First/ Sole Applicant/ Guardian	Second Applicant	Third Applicant

For any queries please contact our nearest Investor Service Centre or

Call Toll Free Number 1800-258-5678

Email : service@licnomuramf.com

Website : www.licnomuramf.com

INSTRUCTION TO COMMON APPLICATION FORM

1. Please read Key Information Memorandum/ Scheme Information Document of LIC NOMURA MF RAJIV GANDHI EQUITY SAVINGS SCHEME - SERIES 2 and Statement of Additional Information carefully before filling the Application Form. Investors should apprise themselves of the prevailing Load structure on the date of submitting the Application Form. Investors are deemed to have accepted the terms subject to which these offers are being made and bind themselves to the terms upon signing the Application Form and tendering payment. The Application Form should be completed in ENGLISH and in BLOCK LETTERS only. Please tick in the appropriate box for relevant options wherever applicable. Please do not overwrite. For any correction / changes (if any) made, the sole / all applicants are requested to authenticate the same by canceling and re-writing the correct details and counter-signing the same. Applications complete in all respects, may be submitted at the designated Official Points of Acceptance of LIC Nomura Mutual Fund. Investors must write the Application Form number / Folio number on the reverse of the cheques and bank drafts accompanying the Application Form. Applications incomplete in any respect are liable to be rejected.

Please note that if no Plan or Option is ticked / indicated in the Application form, the units will, by default, be allotted under the Direct Plan - Growth Option of the Scheme.

2. Direct Investments: Investors applying under Direct Plan must mention "Direct" in ARN column. In case Distributor code is mentioned in the application form, but "Direct Plan" is indicated against the Scheme name, the Distributor code will be ignored and the application will be processed under Direct Plan. In case of valid application received without indicating "Direct Plan" against the Scheme / Plan name and without any Distributor Code mentioned on the form, the application will be processed under "Direct Plan".

3. Investments through distributors

3.1. As per directions of Securities and Exchange Board of India (SEBI), the distributors, agents or any persons employed or engaged or to be employed or engaged in the sale and/or distribution of mutual fund products are required to have a valid certification from the National Institute of Securities Markets (NISM) by passing the certification examination. Further, no agents / distributors are entitled to sell units of mutual funds unless the intermediary is registered with Association of Mutual Funds in India (AMFI).

New cadre distributors: SEBI has introduced a new cadre of distributors such as postal agents; retired government and semi-government officials (class III and above or equivalent), retired teachers and retired bank officers (all such retired persons with at least 10 years of service) and other similar persons (such as Bank correspondents) as may be notified by AMFI/AMC from time to time. Such New Cadre distributor can sell only 'simple and performing' diversified equity schemes, index funds and fixed maturity plans.

3.2. There is a pre-fix of "SD" before the ARN number of such distributors. They also hold an EUIN which must be quoted in the application form. In case your application for subscription is through such distributor is not for an eligible scheme, it is liable to be rejected.

3.3. Employee Unique Identification Number (EUIN): SEBI has made it compulsory for every employee/ relationship manager/ sales person of the distributor of mutual fund products to quote the EUIN obtained by him/her from AMFI in the Application Form. EUIN, particularly in advisory transactions, would assist in addressing any instance of mis-selling even if the employee/relationship manager/sales person later leaves the employment of the distributor.

Individual ARN holders including senior citizens distributing mutual fund products are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. However, if your distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case, you are required to provide a duly signed declaration to this effect, as given in the Form.

3.4. Overseas Distributors: Overseas Distributors are exempt from obtaining NISM certification and AMFI registration. However, such Overseas Distributors are required to comply with the guidelines/ requirements as may be issued by AMFI/SEBI from time to time and also comply with the laws, rules and regulations of jurisdictions where they carry out their operations in the capacity of distributors.

4. Transaction Charges

4.1. In accordance with SEBI circular No. Cir/ IMD/ DF/13/ 2011 dated August 22, 2011, as amended from time to time LIC Nomura Asset Management Company Limited ("the AMC")/Mutual Fund will deduct Transaction Charges from the purchase/ subscription amount received from the investors investing through a valid ARN Holder i.e. AMFI registered Distributor (provided the Distributor has opted-in to receive the Transaction Charges).

4.1.1. The Distributor may opt to receive transaction charges based on the type of product.

4.1.2. Transaction Charge of Rs. 150 (for a first time investor across mutual funds) or Rs. 100 (for investor other than first time mutual fund investor) per purchase / subscription of Rs. 10,000 and above are deductible from the purchase / subscription amount and payable to the Distributor. The balance amount shall be invested.

4.2. Transaction Charges shall not be deducted: (a) where the Distributor of the investor has not opted to receive any Transaction Charges (b) for purchases / subscriptions of amount less than Rs. 10,000 (c) for transactions other than purchases / subscriptions relating to new inflows i.e. through Switches/Systematic Transfers/ Dividend Transfers/ Dividend Reinvestment, etc.; (d) for purchases / subscriptions made directly with the Fund (i.e. not through any Distributor); and (e) for purchases / subscriptions routed through Stock Exchange(s). First / Sole Applicant / Guardian should indicate whether he is a first time investor across Mutual Funds or an existing investor in the appropriate box provided for this purpose in the application form. LIC Nomura AMC/ Fund will endeavor to identify the investor as "first time" or "existing" based on the Permanent Account Number (PAN)/PAN Exempt KYC Reference Number (PEKRN) at the First/ Sole Applicant/ Guardian level. If the PAN/PEKRN details are available, then the First / Sole Applicant / Guardian will be treated as existing investor (i.e. Rs. 100 will be deducted as Transaction Charge) else the declaration in the application form will be considered (i.e. Rs. 150 for first time investors or Rs. 100 for other than first time investors will be deducted as Transaction Charge, as applicable). However, if an investor has not provided any declaration, he will be considered as an "existing" investor.

5. Existing Unit holder information

Investors already having an account in any of LIC Nomura Mutual Fund Schemes should provide their Folio Number & Name of the First Unit Holder in section 1 and proceed to section 6 & 7. The personal details and Bank Account details as they feature in the existing folio would apply to this investment as well and would prevail over any conflicting information furnished in this form. Unitholder's name should match with the details in the existing folio number, failing which the application form is liable to be rejected. In such case, if any other details are filled, the same shall be ignored.

6. Unit holder Information

6.1. Name and address must be written in full. On successful validation of the investor's PAN for KYC, the address provided in the KYC form will override the address mentioned in this form. In case the Investor is an NRI/FII/OCI/QFI, an overseas address must be provided. A local address if available may also be mentioned in the Application Form.

6.2. Applications under a Power of Attorney or by a limited company or a corporate body or an eligible institution or a registered society or a trust fund must be accompanied by the original Power of Attorney (or a certified true copy of the same duly notarised). Authorised officials should sign the Application Form under their official designation. A list of specimen signatures of the authorized officials, duly certified / attested should also be attached to the Application Form. Unit holders are advised to provide their contact details like telephone numbers, mobile numbers and email IDs to LIC Nomura Mutual Fund in writing.

6.3. All communication and payments shall be made by the Mutual Fund in the name of and favouring the first/sole applicant. In case of applications made in joint names without indicating the mode of holding, mode of holding will be deemed as 'Joint' and processed accordingly

6.4. In case an investor opts to hold the Units in demat form, the applicant(s) details mentioned in section should be the same as appearing in demat account held with a Depository Participant

7. Accounts of Minors: The minor shall only be the first and the sole holder in an account (folio). There shall not be any joint accounts with minor as the first or joint holder. Name of the Parent or Guardian must be mentioned if the investments are being made on behalf of a minor. Guardian in the folio should either be a natural guardian (i.e. father or mother, as the case may be) or a court appointed legal guardian. Date of birth of the minor along with photocopy of supporting documents (i.e. Birth certificate, School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., Passport, or any other suitable proof evidencing the date of birth of the minor) should be provided while opening the folio. In case of a natural guardian, document evidencing the relationship of the Guardian with the minor, if the same is not available as part of the documents mentioned above should be submitted. In case of court appointed legal guardian, supporting documentary evidence should be provided. For folios where the units are held on behalf of the minor, the account shall be frozen for operation by the guardian on the day the minor attains majority and no transactions shall be permitted till the documents for changing the status of the account from 'minor' to 'major' as prescribed are received.

8. Bank Details

8.1. Pay - Out Bank Account Details: An investor at the time of purchase of units must provide the details of his / her pay-out bank account (i.e. account into which redemption / dividend proceeds are to be paid) in Section 5 in the Application Form.

8.2. Multiple Bank Account Registration: The AMC/ Mutual Fund provides a facility to the investors to register multiple bank accounts (currently upto 5 for Individuals and 10 for Non - Individuals) for receiving redemption/ dividend proceeds etc. by providing necessary documents. Investors must specify any one account as the "Default Bank Account". The investor, may however, specify any other registered bank account for credit of redemption proceeds

at the time of requesting for the redemption. Investors holding units in non-demat form are requested to avail the facility of registering multiple bank accounts by filling in the 'Multiple Bank Accounts Registration Form' available at our Investor Service Centres (ISCs) or on our website www.licnomuramf.com

8.3.Indian Financial System Code (IFSC): IFSC is a 11 digit number given by some of the banks on the cheques. IFSC will help to secure transfer of redemption and dividend payouts via the various electronic modes of transfers that are available with the banks.

9.Mode of Payment :

Payment may be made by cheque or bank draft drawn on any bank, which is situated at and is a member of the Bankers' Clearing House, located at the place where the application is submitted. No cash, money orders, post-dated cheques and postal orders will be accepted. Bank charges for outstation demand drafts will not be borne by the AMC. The AMC will not accept any request for refund of demand draft charges, in such cases.

9.1.NRIs, FIIs, OCIs, PIOs:

9.1.1.Repatriation Basis

9.1.1.1.In the case of NRIs, payment may be made either by inward remittance through normal banking channels or out of funds held in his Non - Resident (External) Rupee Account (NRE) / Foreign Currency (Non-Resident) Account (FCNR). In case Indian rupee drafts are purchased abroad or from Foreign Currency Accounts or Non-resident Rupee Accounts an account debit certificate from the Bank issuing the draft confirming the debit shall also be enclosed.

9.1.1.2.FIIs shall pay their subscription either by inward remittance through normal banking channels or out of funds held in Foreign Currency Account or Non-Resident Rupee Account maintained by the FII with a designated branch of an authorised dealer.

9.1.2.Non-repatriation Basis

9.1.2.1.In the case of NRIs/OCIs, payment may be made either by inward remittance through normal banking channels or out of funds held in his NRE / FCNR / Non-Resident Ordinary Rupee Account (NRO). In case Indian rupee drafts are purchased abroad or from Foreign Currency Accounts or Non-resident Rupee Accounts an account debit certificate from the Bank issuing the draft confirming the debit shall also be enclosed.

9.2. In order to prevent frauds and misuse of payment instruments, the investors are mandated to make the payment instrument i.e. cheque, demand draft, pay order, etc. favouring either of the following given below and crossed "Account Payee only". Investors are urged to follow the order of preference in making the payment instrument favouring as: 'the Specific Scheme A/c Permanent Account Number' or 'the Specific Scheme A/c First Investor Name'.

10.Third Party Payments :

Investment/subscription made through Third Party Cheque(s) will not be accepted. Third party cheque(s) for this purpose are defined as: (i) Investment made through instruments issued from an account other than that of the beneficiary investor; (ii) in case the investment is made from a joint bank account, the first holder of the mutual fund folio is not one of the joint holders of the bank account from which payment is made.

10.1. Third party cheque(s) for investment/subscription shall be accepted, only in exceptional circumstances, as detailed below:

10.1.1. Payment by Parents/Grand-Parents/related persons on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding Rs.50,000/- (each regular purchase). However this restriction will not be applicable for payment made by a guardian whose name is registered in the records of Mutual Fund in that folio.

10.1.2. Custodian on behalf of a Foreign Institutional Investor (FII) or a client

The above mentioned exception cases will be processed after carrying out necessary checks and verification of documents attached along with the purchase transaction slip/application form, as stated below:

10.1.2.1. Determining the identity of the Investor and the person making payment i.e. mandatory Know Your Client (KYC) for Investor and the person making the payment.

10.1.2.2. Obtaining necessary declaration from the Investor/unit holder and the person making the payment. Declaration by the person making the payment should give details of the bank account from which the payment is made and the relationship with the beneficiary.

10.1.2.3. Verifying the source of funds to ensure that funds have come from the drawer's account only.

10.2. In case of investment/subscriptions made via Pay Order, Demand Draft, Banker's cheque, RTGS, NEFT, ECS, bank transfer, net banking etc. Following additional checks shall be carried out.

10.2.1. If the investment/subscription is settled with pre-funded instruments such as Pay Order, Demand Draft, Banker's cheque, etc., a Certificate from the Issuing banker must accompany the purchase application, stating the Account holder's name and the Account number which has been debited for issue of the instrument. The funds should be debited from a pre-registered pay in account available in the records of the Mutual fund, or from the account of the first named unit holder. Additionally, if a pre-funded instrument issued by the Bank against cash, it shall not be accepted for investments of Rs.50,000/- or more. Such pre-funded instrument issued against cash payment of less than Rs.50,000/- should be accompanied by a certificate from the banker giving name, address and PAN (if available) of the person who has requested for the demand draft.

10.2.2. If payment is made by RTGS, NEFT, ECS, bank transfer, etc., a copy of the instruction to the bank stating the account number debited must accompany the purchase application. The account number mentioned on the transfer instruction should be from pay in account available in the records, or from the account of the first named unit holder. Investors are requested to note that AMC reserves right to have additional checks of verification for any mode of payment received. AMC reserves the right to reject the transaction in case the payment is received in an account not belonging to the first unitholder of the mutual fund.

10.3. In case of investors with multiple accounts, in order to ensure smooth processing of investor transactions, it is advisable to register all such accounts, as the investments/subscriptions received from the said multiple accounts shall be treated as 1st party payments. Refer Third Party Payment Declaration form available in www.licnomuramf.com or LIC Nomura Mutual Fund branch offices.

10.4. The Mutual Fund shall adopt the following procedures to ascertain whether payments are Third Party Payments and investors are therefore required to comply with the requirements specified herein below.

10.4.1.Source of funds-if paid by cheque

Identification of third party cheques by the AMC/Mutual Fund/ Registrar & Transfer Agent (R&TA) will be on the basis of matching the name / signature on the investment cheque with the name/ signature of the first named applicant available on the application or in our records for the said folio. If the name of the bank account holder is not pre-printed on the investment cheque or the signature on the said cheque does not match with that of the first named applicant mentioned on the application / available in our records for the said folio, then the investor should submit any one of the following documents at the time of investment:

10.4.1.1. a copy# of the bank passbook or a statement of bank account having the name and address of the account holder and account number;

10.4.1.2. a letter* (in original) from the bank on its letterhead certifying that the investor maintains an account with the bank, along with information like bank account number, bank branch, account type, the MICR code of the branch & IFSC Code (where available).

Investors should also bring the original documents along with the documents mentioned in (a) above to the ISCs/Official Points of Acceptance of LIC NOMURA Mutual Fund. The copy of such documents will be verified with the original documents to the satisfaction of the AMC/ Mutual Fund/R&TA. The original documents will be returned across the counter to the investor after due verification.

* In respect of (b) above, it should be certified by the bank manager with his / her full signature, name, employee code, bank seal and contact number. Investors should note that where the bank account numbers have changed on account of the implementation of core banking system at their banks, any related communication from the bank towards a change in bank account number should accompany the application form for subscription of units. However, for updation of the changed bank details in the folio, the investor should follow the change of bank details process.

The Mutual Fund has also provided a facility to the investors to register multiple bank accounts; Investors are requested to avail the facility of registering multiple bank accounts by filling in the 'Multiple Bank Accounts Registration Form' available at our Investor Service Centres (ISCs) or on our website www.licnomuramf.com.

10.4.2.Source of funds - if funded by pre-funded instruments such as Pay Order, Demand Draft, Banker's cheque etc.

Investors should attach any one of the following supporting documents with the purchase application where subscription for units is vide a pre-funded instrument issued by way of debit to his / her bank account: (i) a Certificate (in original) from the issuing banker duly certified by the employee signing the pre-funded instrument with his / her full signature, name, employee code, bank seal and contact number, stating the Account holder's name, the Bank Account Number which has been debited for issue of the instrument (Mandatory) and PAN as per bank records, if available (ii) a copy of the acknowledgement from the bank, wherein the instructions to debit carry the bank account details and name of the investor as an account holder are available (iii) a copy of the passbook/ bank statement evidencing the debit for issuance of the instrument. The account number mentioned in the above supporting documents should be the same as / one of the registered bank account or the bank details mentioned in the application form.

10.4.3.Source of funds - if paid by RTGS, Bank Account-to-Account Transfer, NEFT, ECS, etc.

Investors should attach to the purchase application form, an acknowledged copy of the instruction to the bank also stating the account number debited. The account number mentioned on the transfer instruction copy should be a registered bank account or the first named applicant/ investor should be one of the account holders to the bank account debited for such electronic transfer of funds.

10.4.4.Source of funds - if paid by a pre-funded instrument issued by the Bank against Cash

The AMC/Mutual Fund /R&TA will not accept any purchase applications from investors if accompanied by a pre-funded instrument issued by a bank (such as Pay Order, Demand Draft, Banker's cheque) against cash for investments of Rs. 50,000 or more. The investor should submit a Certificate (in original)

obtained from the bank giving name, bank account number (Mandatory) and PAN as per the bank records, if available of the person who has requested for the payment instrument. The said Certificate should be duly certified by the employee signing the pre-funded instrument with his / her full signature, name, employee code, bank seal and contact number. The AMC / Mutual Fund /R&TA will check that the name mentioned in the Certificate matches with the first named investor. The account number mentioned in the Certificate should be the same as / one of the registered bank account or the bank details mentioned in the application form. LIC NOMURA Mutual Fund/LIC NOMURA AMC reserves the right to seek information and /or obtain such other additional documents/information from the investors for identifying whether it is a third party payment. In case the Third Party Declaration Form is not attached and the source of payment is not identified, LIC NOMURA Mutual Fund / LIC NOMURA AMC retains the sole and absolute discretion to reject / not process such Application and refund the subscription money to the bank account from which the subscription amount was received and shall not be liable for any such rejection.

11.Communication to investor

If the investor(s) has/have provided his/their email address in the application form or any subsequent communication in any of the folio belonging to the investor(s), LIC Nomura Asset Management Company reserves the right to use Electronic Mail (email) as a default mode to send various communication which include account statements for transactions done by the investor(s).

The investor(s) may request for a physical account statement by writing or calling LICNMF's Investor Service Center/ Registrar & Transfer Agent. In case of specific request received from the investor(s), LICNMF shall endeavor to provide the account statement to the investor(s) within 5 working days from the receipt of such request.

It is deemed that the Unit holder is aware of all the security risks associated with online communication, including the possibility of third party interception of the documents sent via email. The Mutual Fund / Registrars are not responsible for email not reaching the investor and for all consequences thereof. The Investor shall from time to time intimate the Mutual Fund / its transfer agents about any changes in the email address. In case of a large document, a suitable link would be provided and investor can download, save and print these documents. However, the investor always has a right to demand a physical copy of any or all the service deliverables, and the Fund would arrange to send the same to the investor.

If the units are held in Demat mode then the statement of holding of the beneficiary account holder for units held in Demat will be sent by the respective DPs periodically.

12.Mode of Payment of Redemption / Dividend Proceeds-via Direct Credit / NEFT / ECS/Direct Credit.

12.1.Direct Credit: The AMC has entered into arrangements with some banks to facilitate direct credit of redemption and dividend proceeds (if any) into the bank account of the respective Unit holders maintained with any of these banks. The list of banks is subject to change from time to time. For the list please visit www.licnomuramf.com

12.2. National Electronic Funds Transfer (NEFT)

12.2.1.The AMC provides the facility of 'National Electronic Funds Transfer (NEFT)' offered by Reserve Bank of India(RBI), which aims to provide credit of redemption and dividend payouts (if any) directly into the bank account of the Unit holder maintained with the banks(participating in the NEFT System). Unit holders can check the list of banks participating in the NEFT System from the RBI website i.e. www.rbi.org.in or contact any of our Investor Service Centres.

12.2.2.However, in the event of the name of Unit holder's bank not appearing in the 'List of Banks participating in NEFT' updated on RBI website www.rbi.org.in, from time to time, the instructions of the Unit holder for remittance of redemption/ dividend (if any) proceeds via NEFT System will be discontinued by LIC NOMURA Mutual Fund / LIC NOMURA Asset Management Company Limited without prior notice to the Unit holder and the payouts of redemption / dividend (if any) proceeds shall be effected by sending the Unit holder(s) a cheque / demand draft.

12.2.3.For more details on NEFT or for frequently asked questions (FAQs) on NEFT, Unit holders are advised to visit the RBI website www.rbi.org.in.

13.Electronic Clearing Service (ECS)

13.1.Investors who have opted for the ECS facility of RBI for dividend payment will receive a direct credit of the amount due to them in their mandated account whenever the payment is made through ECS. A separate advice regarding credit of amount(s) via ECS will be sent to the unit holder. It should be noted that while the Mutual Fund will make all efforts, there is no commitment that this facility will be made available to all desirous investors.

13.2.Applicants in cities not covered under ECS facility will receive dividend payments, if any by cheques or demand drafts and the same will be mailed to the Unit holders. Please note that the ECS Facility is available only in respect of dividend payments and not in the case of redemption of Units.

13.3.Therefore, the Investors will receive their redemption / dividend proceeds (if any) directly into their bank accounts in the following order:

13.3.1.In case the bank account of an investor is covered under Direct Credit facility then the payment of redemption / dividend proceeds (if any) will happen via direct credit payout mode only. Investors having these bank accounts will not receive payouts via NEFT/ECS

13.3.2. In case the bank account of an investor is not covered under Direct Credit facility but covered under NEFT system offered by the RBI then the payment of redemption / dividend proceeds (if any) shall be effected via NEFT mechanism only.

13.3.3. The facility for payment of dividend proceeds, if any via ECS shall be affected only in case the bank account of an investor is not covered under the Direct Credit facility or NEFT system available only in respect of dividend payments.

LIC NOMURA Asset Management Company Limited / LIC NOMURA Mutual Fund shall not be held liable for any losses/ claims, etc. arising on account of processing the direct credit or credit via NEFT/ ECS of redemption / dividend proceeds on the basis of Bank Account details as provided by the unit holder in the Application Form. Unit holders are required to attach the canceled cheque of the payout bank for verification. (If the same is different from the investment cheque)

14. Dematerialization

14.1. Investors have an option to hold the Units in dematerialized form. Currently, this facility is not available in case of units offered under the Daily/ Weekly/Fortnightly Dividend Option(s) and also for LICNMF Unit Linked Insurance Scheme. Investors desiring to get allotment of units in demat mode must have a beneficiary account with a Depository Participant (DP) of the Depositories i.e. National Securities Depositories Limited (NSDL) / Central Depository Services Limited (CDSL).

14.2.If PAN is not mentioned by applicants, the application is liable to be rejected. Investors may attach a copy of the Client Master Form / DP statement showing active demat account details for verification.Names, mode of holding, PAN details, etc. of the Investor will be verified against the Depository data. The units will be credited to the beneficiary (demat) account only after successful verification with the depository records and realization of payment. In case the demat details mentioned in the application are incomplete/incorrect or do not match with the depository data, the application shall be treated as invalid for processing under demat mode and therefore may be considered for processing in non-demat form i.e. in physical mode if the application is otherwise valid.

14.3.All details such as address, bank details, nomination etc. will be applicable as available in the depositories' records. For effecting any subsequent changes to such information, Investors should approach their DP. Redemption requests for units held in demat mode must be submitted to DP or through Stock Exchange Platform, as applicable. Holding / transacting of units held in demat mode shall be in accordance with the procedures / requirements laid down by the Depositories, viz. NSDL/ CDSL in accordance with the provisions under the Depositories Act, 1996 and the regulations thereunder.

15.Signatures

15.1.Signature(s) should be in English or in any Indian Language. Applications on behalf of minors should be signed by their Guardian. In case of a HUF, the Karta should sign the Application Form on behalf of the HUF.

15.2.If you are investing through your Constituted Attorney, please ensure that the Power of Attorney is signed by you and your Constituted Attorney. The signature in the Application Form then needs to clearly indicate that the signature is on behalf of the applicant by the Constituted Attorney then the form should be accompanied by a notarised photocopy of the PoA. Alternatively, the original PoA may be submitted, which will be returned after verification. If the PoA is not submitted with the application, the Application Form will be rejected.

15.3.Thumb impressions must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.

16.Nomination:

16.1.Nomination ensures all rights and/or amount(s) payable in respect of the holdings in Schemes of LIC NOMURA Mutual Fund would vest in and be transferred to the nominee upon death of the Unit holder. The nominee receives the units only as agent and trustee for the legal heirs or legatees as the case may be. Investors should opt for the nomination facility to avoid hassles and inconveniences in case of unforeseen events in future.

16.2.Nomination by a unit holder shall be applicable for investments in all schemes in the folio or account.

16.3.Every new nomination for a folio/account will overwrite the existing nomination. Nomination will be subject to the provisions of the Scheme Information Document.

16.4.Nomination shall be mandatory for new folios/accounts opened by an individual especially with sole holding and no new folios/accounts for Individuals (with sole holding) would be opened without nomination. However, investors who do not wish to nominate must sign separately confirming their non-intention to nominate. In case nomination/non-intention to nominate is not provided by Individual (with sole holding), the application is liable to be rejected.

16.5.The nomination can be made only by individuals applying for/ holding units on their own behalf singly or jointly. Karta of Hindu undivided family, holder of Power of Attorney cannot nominate.

16.6. Nomination shall not be allowed in a folio held on behalf of a minor. In case a folio has joint holders, all joint holders should sign the request for nomination/cancellation of nomination, even if the mode of holding is not "joint".

16.7. Minor(s) can be nominated and in that event, the name, address and signature of the guardian of the minor nominee(s) shall be provided by the unit-holder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.

16.8. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, karta of Hindu undivided family or a Power of Attorney holder. A nonresident Indian can be a Nominee subject to the exchange controls in force, from time to time.

16.9. Nomination in respect of the units stands rescinded upon the transfer of units. Transfer of units in favour of Nominee(s) shall be valid discharge by the AMC against the legal heirs.

16.10. Cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination.

16.11. On cancellation of the nomination, the nomination shall stand rescinded and the AMC shall not be under any obligation to transfer the units in favour of the Nominee(s).

16.12. Nomination can be made for maximum number of three nominees. In case of multiple nominees, the percentage of allocation/share in favour of each of the nominees should be indicated against their name and such allocation/share should be in whole numbers without any decimals making a total of 100 percent.

16.13. In the event of the Unitholders not indicating the percentage of allocation/share for each of the nominees, LIC NOMURA Mutual Fund/ LIC NOMURA Asset Management Company Limited (AMC), by invoking default option shall settle the claim equally amongst all the nominees.

16.14. In case of investors opting to hold the Units in demat form, the nomination details provided by the investor to the depository participant will be applicable.

17. Permanent Account Number:

17.1. SEBI has made it mandatory for all applicants (in the case of application in joint names, each of the applicants) to mention his/her permanent account number (PAN) irrespective of the amount [Except as given below]. Where the applicant is a minor, and does not possess his / her own PAN, he / she shall quote the PAN of his/ her father or mother or the guardian, as the case may be. However, PAN is not mandatory in the case of Central Government, State Government entities and the officials appointed by the courts e.g. Official liquidator, Court receiver etc (under the category of Government) for transacting in the securities market. PAN card copy is not required separately if KYC acknowledgement letter is made available. LIC NOMURA Mutual Fund reserves the right to ascertain the status of such entities with adequate supporting documents. Applications not complying with the above requirement may not be accepted/ processed.

17.2. PAN Exempt Investments:

SEBI vide its circular dated July 24, 2012 has clarified that investments in mutual funds schemes (including investments in SIPs) of upto Rs.50,000 per investor per year across all schemes of the Fund shall be exempt from the requirement of PAN. Accordingly, individuals (including Joint Holders who are individuals, NRIs but not PIOs, Minors) and Sole proprietary firms who do not possess a PAN ("Eligible Investors")* are exempt from submission of PAN for investments upto Rs.50,000 in a rolling 12 month period or in a financial year i.e. April to March. However, eligible Investors are required to undergo Know Your Customer (KYC) procedure with any of the SEBI registered KYC Registration Authorities (KRA). Eligible Investors must quote PAN Exempt KYC Reference Number (PEKRN) issued by the KRA under the KYC acknowledgement letter in the application form and submit a copy thereof along with the application form. In case the applicant is a minor, PAN /PEKRN details of the Guardian shall be submitted, as applicable. Eligible Investors (i.e. the First Holder) must not possess a PAN at the time of submission of application form. Eligible investors must hold only one PEKRN issued by any one of the KRAs. If an application for investment together within investments made in a rolling 12 month period or in a financial year exceeds Rs.50,000, such an application will be rejected. Fresh / Additional Purchase and Systematic Investment Plans will be covered in the limit of Rs.50,000. Investors may switch their investments to other Schemes. However, if the amount per switch transaction is Rs.50,000 or more, in accordance with the extant Income Tax rules, investors will be required to furnish a copy of PAN to the Mutual Fund.

The detailed procedures / requirements for accepting applications shall be as specified by the AMC/Trustee from time to time and their decision in this behalf will be final and binding.

* HUFs and other categories are not eligible for such investments.

18. Prevention of Money Laundering

SEBI vide its circular reference number ISD/CIR/RR/AML/1/06 dated January 18, 2006 and other circulars issued from time to time, mandated that all intermediaries including Mutual Funds should formulate and implement a proper policy framework as per the guidelines on anti money laundering measures and also to adopt a Know Your Customer (KYC) policy.

The Investor(s) should ensure that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designated for the purpose of any contravention or evasion of the provisions of the Income Tax Act, Prevention of Money Laundering Act (PMLA), Prevention of Corruption Act and / or any other applicable law in force and also any laws enacted by the Government of India from time to time or any rules, regulations, notifications or directions issued thereunder.

To ensure appropriate identification of the Investor(s) and with a view to monitor transactions for the prevention of money laundering, LIC NOMURA Asset Management Company Limited (LIC NOMURA AMC) / LIC NOMURA Mutual Fund reserves the right to seek information, record investor's telephonic calls and or obtain and retain documentation for establishing the identity of the Investor(s), their beneficial ownership, proof of residence, source of funds, etc. It may re-verify identity and obtain any incomplete or additional information for this purpose. LIC NOMURA Mutual Fund, LIC NOMURA AMC, LIC NOMURA Trustee Company Limited ("LIC NOMURA Trustee") and their Directors, employees and agents shall not be liable in any manner for any claims arising whatsoever on account of freezing the folios/rejection of any application / allotment of Units or mandatory redemption of Units due to non compliance with the provisions of the PMLA, SEBI/AMFI circular(s) and KYC policy and / or where the AMC believes that transaction is suspicious in nature within the purview of the PMLA and SEBI/AMFI circular(s) and reporting the same to FIU-IND.

19. Know Your Customer (KYC) Compliance :

19.1. Units held in account statement (non-demat) form

Investors should note that it is mandatory for all purchases/ switches/ registrations for Systematic Investment Plan (SIP)/ Systematic Transfer Plan (STP)/ Flex STP/ Flexindex Plan/ Dividend Transfer Plan (DTP) to quote the KYC Compliance Status of each applicant (guardian in case of minor) in the application and attach proof of KYC Compliance viz. KYC Acknowledgement Letter. Applicants intending to apply for units through a Power of Attorney (PoA) must ensure that the issuer of the PoA and the holder of the PoA must mention their KYC Compliance Status and attach proof of KYC Compliance at the time of investment.

With effect from January 1, 2012, SEBI has introduced a common KYC Application Form for all the SEBI registered intermediaries. New investors are therefore requested to use the common KYC Application Form and carry out the KYC process including In-Person Verification (IPV) with any SEBI registered intermediaries including mutual funds. The KYC Application Forms are also available on our website www.licnomuramf.com. Existing KYC compliant investors of LIC NOMURA Mutual Fund can continue the practice of providing KYC Acknowledgement Letter/ Printout of KYC Compliance Status downloaded from CDSL Ventures Ltd. (CVL) website (www.cvlindia.com) using the PAN at the time of investment. Once the investor has done KYC with any SEBI registered intermediary, the investor need not undergo the same process again with LIC NOMURA Mutual Fund. However, the Mutual Fund reserves the right to carry out fresh KYC of the investor.

19.2. Units held in electronic (demat) form

For units held in demat form, the KYC performed by the Depository Participant of the applicants will be considered as KYC verification done by the Trustee / AMC. In the event of non compliance of KYC requirements, the Trustee/AMC reserves the right to freeze the folio of the investor(s) and effect mandatory redemption of unit holdings of the investors at the applicable NAV, subject to payment of exit load, if any, on our website "www.licnomuramf.com".

20. Tax benefit under section 80CCG:

Investors are requested to note that as per the RGESS guidelines, the Depositories will be required to certify the 'New Retail Investor' status of the investors at the time of designating his/her demat account for the purpose of RGESS. The depositories will also require to ensure the enforcement of the lock-in on units under the scheme. To avail tax deductions, the Unit holders shall be required to purchase / subscribe as well as hold the units under prescribed lock-in under demat mode only. As per section 80 CCG of the Income Tax Act, 1961, investments made by 'New Retail Investors' in this Scheme will qualify for a 50% deductions of the actual amount invested from the taxable income of the financial year. The maximum investment permissible for claiming deduction in financial year is Rs. 50,000/-. Units held under the Scheme by the Units holders and as declared/ designated for availing tax benefit shall be subject to lock-in -periods viz. fixed lock-in and flexible lock-in as specified under the notified Rajiv Gandhi Equity Savings Scheme, 2013. In case the investor fails to meet the conditions stipulated under RGESS and the provisions laid under Section 80CCG, the taxability would be as provided under RGESS.

For further details investors are requested to read the Scheme Information Document, the Section 80CCG of the Income Tax Act, 1961 thereunder and the notification on Rajiv Gandhi Equity Savings Scheme, 2013 issued by the Ministry of Finance.



LIC NOMURA MUTUAL FUND

Registered office :4th Floor, Industrial Assurance Building, Opp. Churchgate, Mumbai - 400 020
Tel.: 022-285 1661/ Fax ; 022*2288 0633/ E mail : corp.offic@licnomuramf.com / Website: licnomuramf.com



ASBA Application No.

Grid for ASBA Application No.

Date

Grid for Date (DDMMYY)

INVESTORS MUST READ THE SCHEME INFORMATION DOCUMENT/KEY INFORMATION MEMORANDUM AND INSTRUCTIONS BEFORE COMPLETING THIS FORM.

APPLICATION SUPPORTED BY BLOCKED AMOUNT (ASBA) FORM

Table with columns: BROKER/AGENT INFORMATION, FOR OFFICE USE ONLY, Name and AMFI Regn. No., Sub Broker Name & Code, SCSB, SCSB IFSC Code, Syndicate Member Code, SL No.

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor

TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS/AGENTS ONLY (Refer Instruction No. 10)

In case the subscription amount is ₹ 10,000/- or more and your Distributor has opted to receive Transaction Charges, ₹150/- (for first time mutual fund investor) or ₹100/- (for investor other than the first time mutual fund investor) will be deducted from the subscription amount and paid to the distributor. Units will be issued against the balance amount invested.

1. PARTICULARS OF FIRST APPLICANT (Name should be as available in Demat Account)

Form for first applicant details: Name, Mr./Mrs./M/s., PAN

2. PARTICULARS OF SECOND APPLICANT

Form for second applicant details: Name, Mr./Mrs./M/s., PAN

3. PARTICULARS OF THIRD APPLICANT

Form for third applicant details: Name, Mr./Mrs./M/s., PAN

4. EXISTING FOLIO No. (If you have an existing folio number, please mention here)

Folio No. grid

5. DEMAT ACCOUNT DETAILS

Form for Demat account details: Depository (Please ✓), National Securities Depository Limited, Central Depository Services [India] Limited, Depository Participant Name, DP - ID, Beneficiary Account Number

6. INVESTMENT DETAILS (Please ✓)

Form for investment details: Scheme Name (LIC NOMURA MF RGESS FUND - Series 2), Option (Please ✓), Growth - Regular, Growth - Direct, Dividend Payout - Regular, Dividend Payout - Direct

7. DETAILS OF BANK ACCOUNT FOR BLOCKING OF FUNDS

Form for bank account details: Bank Account Number, Bank Name, Branch Name, IFS Code, Total Amount to be blocked (₹ In figures), ₹ in words

Note : AMC, reserves the right to use any mode of payment as deemed appropriate. AMC shall not be responsible if transaction through ECS / Direct Credit could not be carried out because of incomplete or incorrect information provided by investor.



TEAR HERE
ACKNOWLEDGEMENT SLIP
To be filled in by the Investor

ASBA Application Number

Date : / /

Form for acknowledgement slip: LIC NOMURA MF RGESS FUND - Series 2, Received from, Address, SCSB Account details, A/c No., Bank Name, Branch Name, Total Amount to be Blocked, ₹ In figures, ₹ In Words, Date & time of receipt, SCSB Stamp, Signature

8. DECLARATION & SIGNATURE

1) I/We hereby undertake that I/We am/are an ASBA Investor as per the applicable provisions of the SEBI (Issue of Capital and Disclosure Requirements), Regulations 2009 ("SEBI Regulations") as amended from time to time. 2) In accordance with ASBA process provided in the SEBI Regulations and as disclosed in this application, I/We authorize (a) the SCSB to do all necessary acts including blocking of application money towards the Subscription of Units of the Scheme, to the extent mentioned above in the "SCSB / ASBA Account details" or unblocking of funds in the bank account maintained with the SCSB specified in this application form, transfer of funds to the Bank account of the Scheme/LIC Nomura Mutual Fund on receipt of instructions from the Registrar and Transfer Agent after the allotment of the Units entitling me/us to receive Units on such transfer of funds, etc. (b) Registrar and Transfer Agent to issue instructions to the SCSB to remove the block on the funds in the bank account specified in the application, upon allotment of Units and to transfer the requisite money to the Scheme's account / Bank account of LIC Nomura Mutual Fund. 3) In case the amount available in the bank account specified in the application is insufficient for blocking the amount equivalent to the application money towards the Subscription of Units, the SCSB shall reject the application 4) If the DP ID, Beneficiary Account No. or PAN furnished by me/us in the application is incorrect or incomplete or not matching with the depository records, the application shall be rejected and the LIC Nomura Mutual Fund or SCSBs shall not be liable for losses, if any. All future communication in connection with NFO should be addressed to the SCSB/RTA/AMC quoting the full name of the Sole/ First Applicant, NFO Application Number, ASBA Application Number, Depository Account details [if it has been provided], Amount applied for and the account number from where NFO amount was blocked.

Having read and understood the Scheme Information Document and conditions of LIC NOMURA MF RGESS FUND - Series 2. I/We hereby apply for its units and agree to abide by the terms and conditions of the Scheme and any amendments thereof. "I/We have understood the detail of the scheme and I/We have not received or being induced by any rebate or gifts, directly or indirectly, in making this investments". "I/We confirm that I/We have not received and will not receive any commission or brokerage or any other incentive in any form, directly for subscribing to the scheme"

(Non Residents Indians only) I/We confirm that I am/ We are Non-Residents of Indian Nationality/Origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our Non-Resident External/ FCNR Account.

I undertake to comply with SEBI (Central Database of Market Participants) Regulation 2003 (MAPIN) and circulars and notifications issued thereunder and as may be amended from time to time by SEBI.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Date : _____

Place : _____

**SIGNATURE
OF
APPLICANTS**

First Applicant / Parents or Guardian /
Karta of HUF / Authorised Signatory Holders

Second Applicant/
Power of Attorney Holder

Third Applicant /
Power of Attorney Holder

INSTRUCTIONS FOR FILLING ASBA APPLICATION FORM

- An Application Supported by Blocked Amount (ASBA) investor shall submit a duly filled up ASBA Application form, physically or electronically, to the Self Certified Syndicate Bank (SCSB) with whom the bank account to be blocked, is maintained.
 - In case of ASBA application form in physical mode, the investor shall submit the ASBA Application Form at the Bank branch of SCSB, which is designated for the purpose and the investor must be holding a bank account with such SCSB.
 - In case of ASBA application form in electronic form, the investor shall submit the ASBA Application Form either through the internet banking facility available with the SCSB, or such other electronically enabled mechanism for subscribing to units of Mutual Fund scheme authorising SCSB to block the subscription money in a bank account.
- Investors shall correctly mention the Bank Account number in the ASBA Application Form and ensure that funds equal to the subscription amount are available in the bank account maintained with the SCSB before submitting the same to the designated branch.
- Upon submission of an ASBA Application Form with the SCSB, whether in physical or electronic mode, investor shall be deemed to have agreed to block the entire subscription amount specified and authorized the Designated Branch to block such amount in the Bank Account.
- On the basis of an authorisation given by the account holder in the ASBA Application Form, the SCSB shall block the subscription money in the Bank Account specified in the ASBA Application Form. The subscription money shall remain blocked in the Bank Account till allotment of units under the scheme or till rejection of the application, as the case may be.
- If the Bank Account specified in the ASBA Application Form does not have sufficient credit balance to meet the subscription money, the ASBA application shall be rejected by the SCSB.
- The ASBA Application Form should not be accompanied by cheque, demand draft or any mode of payment other than authorisation to block subscription amount in the Bank Account.
- All grievances relating to the ASBA facility may be addressed to the BANK/AMC / RTA to the Issue, with a copy to the SCSB, giving full details such as name, address of the applicant, subscription amount blocked on application, bank account number and the Designated Branch or the collection centre of the SCSB where the ASBA Application Form was submitted by the Investor.
- ASBA facility extended to investors shall operate in accordance with the SEBI guidelines in force from time to time
- SCSB means Self Certified Sydicate Bank registered with the SEBI, which offers the facility of ASBA. List of SCSBs is available on SEBI website: www.sebi.gov.in
- TRANSACTION CHARGES: SEBI with the intent to enable investment by people with small saving potential and to increase reach of Mutual Fund products in urban areas and in small towns, wherein the role of the distributor is vital, has allowed AMCs vide its Circular No. Cir/IMD/DF/13/2011 dated August 22, 2011 to deduct transaction charges for subscription of ₹ 10,000/- and above. In accordance with the said circular, if your distributor has opted to receive the Transaction Charges, LIC NOMURA Mutual Fund Asset Management Company Ltd. ("AMC") / LIC NOMURA Mutual Fund ("Mutual Fund") shall deduct a Transaction Charge of ₹ 150/- in case you are a first time mutual fund investor and ₹ 100/- in case you are an existing investor, from your subscription amount and pay the same to your distributor. Units will be issued against the net amount invested after deduction of Transaction Charges payable to the distributor.
Please note that Transaction Charges shall not be deducted in the following cases:
 - Where the subscription amount is less than ₹ 10000/-
 - For subscriptions received directly (irrespective of the amount of investment); and
 - For transactions other than purchases/subscriptions relating to new inflows, eg. in case of switch/systematic transfers to another scheme.

Form A

Declaration to be submitted by the investors to the depository participants for availing the benefits under the Rajiv Gandhi Equity Savings Scheme.

Name of the Investor: _____
(first holder)

Address of the investor: _____

Permanent Account Number (PAN): _____

1. It is hereby certified that* ---
 - (a) I do not have a demat account and I have not traded in any derivatives.
 - (b) I have demat account no _____ in _____ depository participant but I have not traded in any equity shares or derivatives in this account.
 - (c) I have a joint demat account no _____ in _____ depository participant but I am not the first account holder.
2. I hereby declare that I have read and understood all the terms and conditions of the Rajiv Gandhi Equity Savings Scheme.
3. It is hereby verified that I am an eligible new retail investor for availing the benefits under the Rajiv Gandhi Equity Savings Scheme.
4. I undertake to abide by all the requirements and fulfill all obligations under the Scheme, and will comply with all the terms and conditions of the Scheme.
5. I understand that, in case I fail to comply with any condition specified in the Scheme, the benefits availed there under will be withdrawn and the tax shall be payable by me accordingly.

Place:

Date:

Signature of the Investor

* Tick which ever is appropriate.

Form B

Declaration to be submitted by the new retail investor to the depository participant on purchase of eligible securities.

To

Depository participant

Address

It is hereby informed that I have demat account no _____ in _____ depository participant and the following securities

- (a)
- (b)
- (c)
- (d)

(e) purchased in the aforesaid demat account on _____ are not to be included as investment for the purpose of the Rajiv Gandhi Equity Savings Scheme.

Signature

Name of the Investor: _____
(first holder)

Address of the investor: _____

Permanent Account Number (PAN): _____

THIS PAGE HAS BEEN INTENTIONALLY LEFT BLANK



LIC NOMURA
MUTUAL FUND

**LIC NOMURA MUTUAL FUND
ASSET MANAGEMENT
COMPANY LTD**

Register Office :

Industrial Assurance Building, 4th Floor,
Opposite Churchgate Station, Mumbai 400 020
Tel.: 022-22812038 Toll Free no: 1800 258 5678

Fax: 022 - 22843660

Email : service@licnomuramf.com

Website : www.licnomuramf.com